方正证券(香港)
FOUNDER SECURITIES (HONG KONG)

W-8BEN—美國預扣稅及申報實益擁有人之外籍身份證明(個人)

所有 W 表格均必須以英文填寫。

Form **W-8BEN** (Rev. February 2014) Department of the Treasury Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

▶ For use by individuals. Entities must use Form W-8BEN-E.
▶ Information about Form W-8BEN and its separate instructions is at *www.irs.gov/formw8ben*.
▶ Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form if: — **Instead, use Form:**

- You are NOT an individual . . . W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual . . . W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) . . . W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . 8233 or W-4
- A person acting as an intermediary . . . W-8IMY

A

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual who is the beneficial owner: Chan Tai Man
2 Country of citizenship: Chinese
3 Permanent residence address (street, apt. or suite no., or rural route). **Do not use a P.O. box or in-care-of address.**: 20/F Yu Sung Boon BLDG, 107-109 Des Voeux Road,
City or town, state or province. Include postal code where appropriate.: Hong Kong
Country: China
4 Mailing address (if different from above)
City or town, state or province. Include postal code where appropriate.
Country
5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)
6 Foreign tax identifying number (see instructions)
7 Reference number(s) (see instructions)
8 Date of birth (MM-DD-YYYY) (see instructions): 01-01-1985

B

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of _____ within the meaning of the income tax treaty between the United States and that country.
10 **Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article _____ of the treaty identified on line 9 above to claim a _____ % rate of withholding on (specify type of income): _____
Explain the reasons the beneficial owner meets the terms of the treaty article: _____

C

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
  (a) not effectively connected with the conduct of a trade or business in the United States,
  (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
  (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

D

Sign Here ▶ 1. 陳大文 — Signature of beneficial owner (or individual authorized to sign for beneficial owner)
2. 03/07/2015 — Date (MM-DD-YYYY)
1. Chan Tai Man — Print name of signer
Capacity in which acting (if form is not signed by beneficial owner)

For Paperwork Reduction Act Notice, see separate instructions. Cat. No. 25047Z Form **W-8BEN** (Rev. 2-2014)

如為聯名戶口，每位戶口持有人必須分別填寫一份表格。

W-8BEN 表格必須準確填寫，不得塗改。

A. 請詳閱本節和相關指引，以確保使用正確的 W 表格。

B. 第一部份 (實益擁有人身份)

第 1 欄 英文全名 (姓名)

第 2 欄 國籍

第 3 欄 此處填寫之國家必須為客户有責任繳納入息稅之國家。

此處不能顯示為一個金融機構的地址、郵政信箱或通訊地址

第 4 欄 如果您的郵寄地址與永久居住地址不同，請填寫郵寄地址。

第 5 欄 填寫您的美國納稅人識別號碼(TIN)。該號碼為您的社會保障號碼(SSN)或個人納稅人識別號碼(TIN)。

有效的納稅人識別號碼應由 9 個數字組成。

第 6 欄 填寫您在美國以外的稅務識別號碼

第 7 欄 請勿填寫戶口號碼

第 8 欄 請填寫您的出生日期（月月／日日／年年年年）

C. 第二部分（申請稅務協定利益）

第 9 欄和第 10 欄 僅當您是協定國居民並有權申請稅務協定利益，即您收到源自美國的固定或可確定年度或定期(FDAP) 收入（例如股息）時，才需填寫本節內容。如果對您是否有資格申請稅務協定利益存有疑問，我們建議您尋求獨立稅務意見。

D. 第三部分（證明）

1. 請在表格上簽名，並在簽名下方的橫線上以正楷工整書寫姓名
2. 請以月月／日日／年年年年的格式填寫日